

Docket No. 205429US0
IN RE APPLICATION OF: Masayuki MOTONARI, et al.
SERIAL NO: 09/820,749
FILED: March 30, 2001
FOR: AQUEOUS DISPERSION FOR CHEMICAL MECHANICAL POLISHING



1785
\$
[Signature]

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:
Transmitted herewith is an Amendment in the above-identified application.

- ☐ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: **Request for Extension of Time (THREE-MONTH); Certified English Translation of Japanese Priority Document JP2000-098094; Terminal Disclaimer; Information Disclosure Statement; Form PTO-1449; Cited References (4); Copies of the Information Disclosure Statements and Form PTO-1449's w/Date-Stamped Filing Receipts (As Filed July 2 and 9, 2001 & October 10, 2001)**

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	28	MINUS	54	0	x \$18 =	\$0.00
INDEPENDENT	3	MINUS	14	0	x \$88 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$300 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

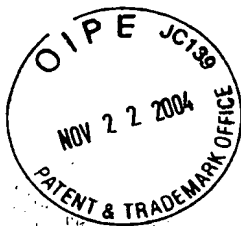
- ☐ A check in the amount of \$0.00 is attached.
- ☒ Credit card payment form is attached to cover the fees in the amount of \$1,270.00
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

James J. Kelly
Registration No. 41,504

Customer Number
22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)



OSMM&N File No. 205429US0

Dept.: CHEMICAL

By: NFO/saf

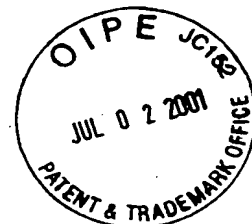
Serial No. 09/820,749

In the matter of the Application of: Masayuki MOTONARI, et al.

For: AQUEOUS DISPERSION FOR CHEMICAL MECHANICAL POLISHING

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification & Claims/Drawings Sheets
- ☒ Combined Declaration, Petition & Power of Attorney 4 pages (executed)
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application ☐ CPA
- ☐ Notice of Priority ☐ Priority Doc
- ☐ Check for ☒ Dep. Acct. Order Form
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO 1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☒ PTO Cover Letter
- ☐ Amendment
- ☒ Information Disclosure Statement ☒ PTO-1449
- ☒ Cited References (2)
- ☐ Search Report
- ☐ Statement of Relevancy
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response ☐ Cited Pending Applications
- ☐ Rule 132 Declaration
- ☐ Request for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advance Serial Number Card
- ☒ Notice to File Missing Parts of Non-Provisional Application - Return Copy
- ☒ Filing of Declaration Under 37 CFR 1.53 (f) Due Date: July 11, 2001



BEST AVAILABLE COPY

COPY



Copy

OSMM&N File No. 205429US0

Dept.: PP

By: NFO/ln

Serial No. 09/820,749

In the matter of the Application of: Masayuki MOTONARI, et al.

For: AQUEOUS DISPERSION FOR CHEMICAL MECHANICAL POLISHING

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification & Claims/Drawings Sheets
- ☐ Combined Declaration, Petition & Power of Attorney pages
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application ☐ CPA
- ☐ Notice of Priority ☐ Priority Doc
- ☐ Check for ☒ Dep. Acct. Order Form
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO-1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement ☒ PTO-1449
- ☒ Cited References (4)
- ☒ EUROPEAN Search Report
- ☐ Statement of Relevancy
- ☐ IDS/Related/List of Related Cases ☐ Cited Pending Applications ()
- ☐ Restriction Response ☐ Election Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advanced Serial Number Card



COPY

BEST AVAILABLE COPY



OSMM&N File No. 205429US0

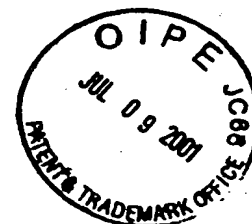
Serial No. 09/820,749

In the matter of the Application of: Masayuki MOTONARI et al.

For: AQUEOUS DISPERSION FOR CHEMICAL MECHANICAL POLISHING

The following has been received in the U.S. Patent Office on the date stamped hereon:

- | | | |
|---|-----------------|---|
| <input type="checkbox"/> pp. Specification | Claims/Drawings | Sheets |
| and | | pages Application Data Sheet |
| <input type="checkbox"/> Combined Declaration, Petition & Power of Attorney | | pages |
| <input type="checkbox"/> List of Inventor Names and Addresses | | |
| <input type="checkbox"/> Utility Patent Application Transmittal | | <input type="checkbox"/> CPA |
| <input type="checkbox"/> Notice of Priority | | <input type="checkbox"/> Priority Doc |
| <input type="checkbox"/> Check for | | <input checked="" type="checkbox"/> Dep. Acct. Order Form |
| <input type="checkbox"/> Fee Transmittal Form | | |
| <input type="checkbox"/> Assignment/PTO 1595 pages: | | |
| <input type="checkbox"/> Letter to Official Draftsman | | |
| <input type="checkbox"/> Letter Requesting Approval of Drawing Changes | | |
| <input type="checkbox"/> Drawings | sheets | <input type="checkbox"/> Formal |
| <input type="checkbox"/> Letter | | |
| <input type="checkbox"/> Amendment | | |
| <input type="checkbox"/> Information Disclosure Statement | | <input type="checkbox"/> PTO-1449 |
| <input type="checkbox"/> Cited References | | |
| <input type="checkbox"/> Search Report | | |
| <input type="checkbox"/> Statement of Relevancy | | <input checked="" type="checkbox"/> Cited Pending Applications (11) |
| <input checked="" type="checkbox"/> IDS/Related/List of Related Cases | | <input type="checkbox"/> Election Response |
| <input type="checkbox"/> Restriction Response | | |
| <input type="checkbox"/> Rule 132 Declaration | | |
| <input type="checkbox"/> Petition for Extension of Time | | |
| <input type="checkbox"/> Notice of Appeal | | |
| <input type="checkbox"/> Brief | | |
| <input type="checkbox"/> Issue Fee Transmittal | | |
| <input type="checkbox"/> White Advance Serial Number Card | | |
| <input type="checkbox"/> Small Entity Status is Claimed | | |
| <input type="checkbox"/> | | |
| <input type="checkbox"/> | | |



Due Date: July 9, 2001

BEST AVAILABLE COPY

COPY